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Box Patent Applications  
Washington D.C. 20231

Attorney Docket No.040373-0255

(must include alphanumeric codes if no inventors named)

UTILITY PATENT APPLICATION TRANSMITTAL  
(new nonprovisional applications under 37 CFR 1.53(b))

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Kazuo ISHII

TITLE:OPTICAL SYMBOL READING DEVICE

In connection with this application, the following are enclosed:

APPLICATION ELEMENTS:

XX Specification - 19 TOTAL PAGES

(preferred arrangement:)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regard Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

XX Drawings - Total Sheets 2

XX Declaration and Power of Attorney - Total Sheets 2

XX Newly executed (original or copy)

   Copy from a prior application (37 CFR 1.63(d))

(relates to continuation/divisional boxes completed) - NOTE: Box below

   DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d) (2) and 1.33(b).

   Incorporation By Reference (useable if copy of prior application  
Declaration being submitted)

The entire disclosure of the prior application, from which a COPY of the  
oath or declaration is supplied as noted above, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

   Microfiche Computer Program (Appendix)

   Nucleotide and/or Amino Acid Sequence Submission (if applicable, all  
necessary)

- Computer Readable Copy
- Paper Copy (identical to computer copy)
- Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

XX Assignment Papers (cover sheet & document(s))

   37 CFR 3.73(b) Statement (when there is an assignee)

   English Translation Document (if applicable)

   Information Disclosure Statement(IDS) with PTO-1449.    Copies of IDS Citations

   Preliminary Amendment

XX Return Receipt Postcard (MPEP 503)

☐ Small Entity Statement(s)

☐ Statement file in prior application, status still proper and desired.

☒ Certified Copy of Priority Document(s) with Claim of Priority  
(if foreign priority is claimed).

☒ OTHER: Check in the amount of \$800.00

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application Serial No. .

☐ Amend the specification by inserting before the first line the following sentence: --This application is a ☐ continuation, ☐ divisional or ☐ continuation-in-part of application Serial No. , filed .--

**CORRESPONDENCE ADDRESS:**

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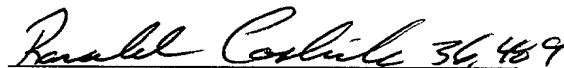
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$760 (\$330)
Total Claims	6 - 20 = 0		x \$18 (x \$9)	0
Independent Claims	1 - 3 = 0		x \$78 (x \$39)	0
Multiple Dependent Claims			\$260 (\$130)	
Assignment Recording Fee per property			\$40	\$40.00
Surcharge Under 37 C.F.R. 1.16(e)			\$130 (\$65)	
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Respectfully submitted,

Date: May 27, 1999  
Docket No.: 040373-0255

  
David A. Blumenthal  
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# OPTICAL SYMBOL READING DEVICE

## BACKGROUND OF THE INVENTION

### 5 1. Field of the Invention

The present invention relates to a symbol reading device, and particularly to an optical symbol reading device for reading symbols on the front and back surfaces of an article moved by, for example, a  
10 conveyor.

### 2. Description of the Related Art

An article that is moved by, for example, a conveyor and that bears symbols to be read, movement caused by the conveyor brings the front surface of the  
15 article toward the symbol reading device and then moves the back surface away from the symbol reading device. In other words, when reading symbols on the front or back surface of an article, the input of the image data of the symbols must be carried out while the reading  
20 distance, which is the distance between the reading device and the symbols, is in a constantly changing state.

Typical methods of receiving optical image data include the use of a camera such as an image pickup tube  
25 or CCD, or directing a laser beam scan light onto the

surface to which symbols are applied and detecting the reflected light.

Fig. 1 shows a symbol reading device of the prior art that reads bar code labels by scanning by a laser beam.

In the prior-art symbol reading device of Fig. 1, article detector (light projection) 26a and article detector (light reception) 26b first detect that an article bearing a bar code to be read has entered the read zone, following which image data input unit 10, which constitutes image data input section 1, directs a laser beam scanning light upon the surface of the bar code label, a photo-sensor detects the light of the laser beam scanning light that is reflected from the surface of the bar code label, an electric signal converter converts the detection lights to electric signals and these are interpreted by interpreter 2, and the interpretation results are then outputted by way of interpretation result output section 3 to an external device. Image data input section 1 that uses a camera is made up by a camera that uses an image pickup tube or CCD.

As can be understood from focusing a camera, a clear image free of blurring can be obtained in image data input by camera of the prior art by focusing such that the reading distance, which is the distance between

the camera and the symbol, i.e., the article to be read, is equal to the focal length of the camera lens.

In other words, clear images that are free from blurring cannot be obtained when reading symbols on the front and back surfaces because the above-described reading distance is constantly changing as described above.

Similarly, regarding the method of the prior art in which a laser beam scan light is irradiated, it is common knowledge that the beam diameter of the laser beam scanning light such as outputted from the image data input unit is not uniform but rather, first converges with increasing distance from the image data input unit and then diverges. At the waist position at which the laser beam converges to its narrowest diameter, i.e., at the focus point, resolution is high and a clear image free of blurring can be obtained, but at positions other than the focus point, the laser beam broadens, resolution falls, and as with the above-described camera, blurring occurs and a clear image cannot be obtained.

In other words, when input of image data is carried out at points other than the focus point in a symbol reading device in which reading is realized by irradiating a laser beam upon a bar code label and then reading the reflected light, the laser beam diameter is

broad and resolution is low. As a result, the bar widths that make up a bar code cannot be accurately detected and the reading performance of the symbol reading device suffers. In image data input by means of a camera as well, the image goes out of focus, clear image data cannot be obtained, and reading performance drops.

For these reasons, large symbols have been used with symbol reading devices of the prior art that read symbols on front and back surfaces, thereby reducing the effect of the above-described blurring.

With large symbols, symbol data are not lost despite blurring. Larger symbols, however, require larger labels or paper for printing, and therefore entail the disadvantage of increased operation costs.

A further disadvantage is that larger labels cannot be applied to small articles.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide a high-performance optical symbol reading device that is capable of always matching the focus point to the front or back surface of an article when reading the front surface or back surface of an article for which the reading distance is in constant change.

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The optical symbol reading device of this invention is made up of: an image data input section that is provided with an image data input unit for receiving a bar code label, characters, symbols, or image data on an article that is moved by a conveyor; an article detector for detecting that an article has entered the read zone; an interpreter for converting electric signals from the image data input section to numbers or characters; and an interpretation result output section for outputting the interpretation results of the interpreter to an external device;

wherein the image data input section is provided with: an image data input focus point modifier; and the optical symbol reading device further comprises:

a front surface/back surface position detector for detecting the position on the conveyor of the front surface or back surface of an article that is moved by the conveyor, and an image data input focus point control section that outputs information from the front surface/back surface position detector to the image data input focus point modifier.

In addition, the front surface/back surface position detector is provided with means that is provided with a light projection position detector and a light reception position detector made up of a plurality of transmissive multiple optical axis sensors, for

finding the position of the front surface or back  
surface of an article by detecting which transmissive  
multiple optical axis sensors of the plurality of  
transmissive multiple optical axis sensors of the light  
5 projection position detector are being shielded by the  
article.

In addition, the front surface/back surface  
position detector is provided with means that includes a  
rotary encoder that is attached to the conveyor, for  
10 finding the position of the front surface or back  
surface of the article by counting pulses from the  
rotary encoder and measuring the distance of movement of  
the conveyor.

In addition, the image data input focus point  
15 control section may also include means for converting  
the front surface/back surface position data of the  
article that are received from the front surface/back  
surface position detector to a reading distance, which  
is the distance between the image data input unit and  
20 the front surface or back surface of the article, and  
outputting the reading distance as focus point data to  
the image data input focus point modifier.

In addition, the image data input focus point  
modifier may include means for matching the focus point  
25 to the front surface or back surface of an article that  
is constantly moving over time by setting the focus



point to a position designated by focus point data that are received from the image data input focus point control section.

Further, means may be included for reading two  
5 surfaces, i.e., the side surface/back surface or side surface/front surface of an article that is moved by a conveyor, by fixing the focus on the position of the side surface and reading the side surface when receiving a bar code label, characters, symbols, or image data on  
10 a side surface of the article from the image data input unit.

In other words, this invention provides an image data input focus point control section that outputs position data of an article that are received from the  
15 front surface/back surface position detector to an image data input focus point modifier for the purpose of obtaining clear image data of, for example, symbols applied to the front or back surface of an article that is being moved by, for example, a conveyor and for which  
20 the reading distance is constantly changing, thereby enabling matching of the focus point of a camera or waist point of a laser beam for image data input to the front or back surface of the article and realizing the input of clear image data.

25 The above and other objects, features, and advantages of the present invention will become apparent

from the following description based on the accompanying drawings which illustrate an example of a preferred embodiment of the present invention.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram showing reading of symbols on a front surface/back surface by a symbol reading device of the prior art which reads a bar code label by scanning a laser beam according to techniques of the prior art;

Fig. 2 is a block diagram showing an optical symbol reading device according to an embodiment of the present invention;

Fig. 3 shows the arrangement of the optical symbol reading device of the embodiment of the invention and the position of the conveyed article; and

Fig. 4 again shows the arrangement of the optical symbol reading device of the embodiment of the invention and the position of the conveyed article.

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#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

An embodiment of the invention is next described with reference to the accompanying figures. Fig. 2 is a block diagram showing the optical symbol reading device according to the embodiment of the invention.

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The symbol reading device according to the invention shown in Fig. 2 is made up of: image data input section 1 which is composed of image data input unit 10 and image data input focus point modifier 11; interpreter 2, interpretation result output section 3, front surface/back surface position detector 4, and image data input focus point control section 5.

Operation of the symbol reading device according to the invention is next explained using Fig. 2, Fig. 3, and Fig. 4. Figs. 3 and 4 show views taken from directly above the conveyor of article 27 being conveyed by conveyor 22 toward the left in Fig. 3 and Fig. 4 as shown by conveyance direction 28. Front surface symbol reading device 20 reads symbols by scanning front surface 23 of article 27 in an up-down direction by means of scanning beam 30 composed of a laser beam and receiving the light reflected from the symbols, such as a bar code label, present on front surface 23. In the same way as front surface symbol reading device 20, back surface symbol reading device 21 reads symbols by scanning back surface 24 in an up-down direction by means of scanning beam 31 composed of a laser beam and receiving the light reflected from the symbols, such as a bar code label, present on back surface 24.

Article 27 is first conveyed by conveyor 22 in the direction of conveyance direction 28, and the back end

of conveyed article 27 is detected by article detectors 26a and 26b when the article reaches the position shown in Fig. 3. Article detectors 26a and 26b typically employ a transmissive sensor or a reflective sensor.

5           Here, the back end of conveyed article 27 is the back surface of conveyed article 27. Scanning beam 31 must be directed onto back surface 24 in order to read symbols present on the back surface of article 27, and the position of article 27 shown in Fig. 3 is the  
10   starting point of this irradiation. The position of article 27 shown in Fig. 4 is the ending point of this irradiation. This ending point is related to the width of article 27, and a particular set value is therefore established and scanning ends when the back end of  
15   article 27 exceeds this set value.

          Back surface symbol reading device 21 begins reading the symbols on back surface 24 of article 27 from the position of article 27 shown in Fig. 3 and completes the scanning of back surface 24 at the  
20   position shown in Fig. 4.

          Here, article 27 is moved by conveyor 22, and the movement of back surface 24 away from back surface symbol reading device 21 causes a change in the distance between back surface symbol reading device 21 and back  
25   surface 24, i.e., the reading distance, and this change can be seen in the change of the length of scanning beam

31 in Fig. 3 and Fig. 4. Similarly, it can be seen from Fig. 3 and Fig. 4 that the approach of front surface 23 to front surface symbol reading device 20 brings about change in the distance between front surface symbol reading device 20 and front surface 23, i.e., the reading distance.

To obtain clear image data of symbols such as bar code present on back surface 24, the focus point of the symbol reading device must be constantly matched to the reading distance, which changes constantly with the movement of article 27 as described hereinabove.

The above-described focus point is the focus point of the scanning beam realized by a laser beam outputted by image data input unit 10 or the camera incorporated in image data input unit 10.

To realize this matching, front surface/back position detector 4 of article 27 first detects the back end of article 27 by means of article detectors 26a and 26b and then outputs this detection as a back end detection signal to image data input focus point control section 5.

Image data input focus point modifier 11 receives the focus point data from image data input focus point control section 5 and has the function of setting to any distance the focus point of a camera or of a scanning

beam realized by a laser beam outputted by image data input unit 10.

Accordingly, upon receiving the back end detection signal, image data input focus point control section 5  
5 outputs the focus point information, which corresponds to the length of the broken line of scanning beam 31, to image data input focus point modifier 11, which is to set the focus point at this time point to the length of the broken line of scanning beam 31 as shown in Fig. 3.  
10 This operation enables matching of the focus point to back surface 24 of article 27 shown in Fig. 3.

Article 27 then moves in the direction of conveyance direction 28 and the reading distance accordingly changes. It can here be seen from Fig. 3  
15 that this reading distance is determined by the position of article 27 on conveyor 22. Figs. 3 and 4 show a method in which the detection of the position of article 27 on conveyor 22 is realized by position detector (light projection) 25a and position detector (light  
20 reception) 25b made up of a plurality of transmissive multiple optical axis sensors. This is a method by which the back end of article 27 is directly found depending on where the plurality of sensors of position detector (light projection) 25a are shielded by article  
25 27. Front surface/back surface position detector 4 then outputs the back end position data of article 27

outputted from position detector (light reception) 25b  
to image data input focus point control section 5.

Image data input focus point control section 5 next  
converts the back end position data to the reading  
5 distance and outputs the result as focus point data to  
image data input focus point modifier 11. Image data  
input focus point modifier 11 can then match the focus  
point to back surface 24 of constantly moving article 27  
by setting the focus point to the position set by the  
10 focus point data. Clear image data input can therefore  
be obtained and a high-performance symbol reading device  
can be realized.

Reading of front surface 23 is equivalent to that  
for back surface 24 and explanation is therefore here  
15 omitted.

Although transmissive multiple optical axis sensors  
were employed for position detectors 25a and 25b in  
Figs. 3 and 4, a method may also be used by which the  
position of an article is detected by attaching a rotary  
20 encoder to the conveyor and then measuring the distance  
of movement of the conveyor by counting pulses from the  
rotary encoder. Alternatively, if the conveyance speed  
of the conveyor is known, the position of the back end  
of an article can also be obtained by calculating the  
25 elapsed time following detection of the back end of the  
article by article detectors 26a and 26b.

During the time interval from detection of front surface 23 of article 27 by article detectors 26a and 26b to detection of back surface 24, scanning beam 31 scans side surface 25, and since the reading distance is fixed at this stage, reading of side surface 25 can be realized with the focus fixed to the position of side surface 25. In this way, reading of two sides, i.e., the side surface/back surface or the front/side surface, can be realized by a single symbol reading device.

In addition, this invention is effective not only in a linear CCD or line scanning laser scan-type symbol reading device in which the track of the scanning beam is a straight line, but in a symbol reading device that takes in and reads a two-dimensional image.

The invention as described hereinabove enables matching of the focus point to the front surface/back surface of an article even in the case of reading the front surface/back surface of an article for which reading distance is changing over time, thereby enabling clear image data to be obtained and providing a high-performance symbol reading device for symbols present on the front surface/back surface of an article.

While a preferred embodiment of the present invention has been described using specific terms, such description is for illustrative purposes only, and it is to be understood that changes and variations may be made



without departing from the spirit or scope of the following claims.

What is claimed is:

1. An optical symbol reading device comprising:  
an image data input section that is provided with an image  
data input unit for receiving a bar code label,  
characters, symbols, or image data on an article that is  
5 moved by a conveyor, and an image data input focus point  
modifier;  
an article detector for detecting that said article has  
entered a read zone;  
an interpreter for converting electric signals from said  
10 image data input section to numbers or characters;  
an interpretation result output section for outputting the  
interpretation results of said interpreter to an external  
device;  
a front surface/back surface position detector for  
15 detecting a position on said conveyor of a front surface  
or back surface of an article that is moved by said  
conveyor, and  
an image data input focus point control section for  
outputting data from said front surface/back surface  
20 position detector to said image data input focus point  
modifier.

2. An optical symbol reading device according to  
claim 1 wherein said front surface/back surface position  
detector comprises:

means that is provided with a light projection position  
5 detector and a light reception position detector made up  
of a plurality of transmissive multiple optical axis  
sensors, for finding the position of the front surface or  
back surface of said article by detecting which  
transmissive multiple optical axis sensors of the  
10 plurality of transmissive multiple optical axis sensors of  
said light projection position detector are being shielded  
by said article.

3. An optical symbol reading device according to  
claim 1 wherein said front surface/back surface position  
detector comprises:

means provided with a rotary encoder that is attached to  
5 said conveyor, for finding the position of the front  
surface or back surface of said article by counting pulses  
from said rotary encoder and measuring a distance of  
movement of said conveyor.

4. An optical symbol reading device according to  
claim 1 wherein said image data input focus point control  
section comprises:

means for converting front surface/back surface position  
5 data of said article that are received from said front  
surface/back surface position detector to a reading  
distance, which is the distance between said image data

input unit and the front surface or back surface of said  
article, and outputting said reading distance as focus  
10 point data to said image data input focus point modifier.

5. An optical symbol reading device according to  
claim 1 wherein said image data input focus point modifier  
comprises:

means for matching the focus point to the front  
5 surface or back surface of said article that moves  
constantly over time by setting the focus point to a  
position designated by said focus point data that are  
received from said image data input focus point control  
section.

6. An optical symbol reading device according to  
claim 1 further comprising:

means for reading two surfaces, i.e., a side surface  
/back surface or a side surface/front surface, of an  
5 article moved by a conveyor by fixing a focus on a  
position of said side surface and reading said side  
surface when receiving a bar code label, characters,  
symbols, or image data on the side surface of said article  
from said image data input unit.

# ABSTRACT OF THE DISCLOSURE

For receiving clear image data of symbols applied to the front surface or back surface of an article that is moved by, for example, a conveyor and for which the reading distance is constantly changing, a symbol reading device is provided with an image data input focus point control section that outputs position data of the article from a front surface/back surface position detector to an image data input focus point modifier such that the focus point of a camera or waist position of a laser beam for image data input can be constantly matched to the front surface or back surface of the article.

Fig. 1 (Prior Art)

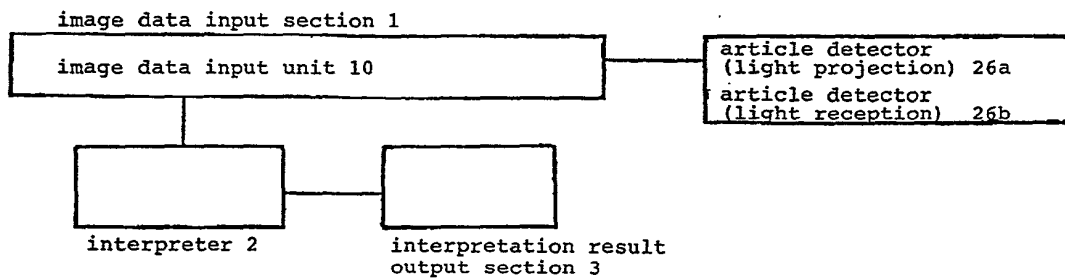


Fig. 2

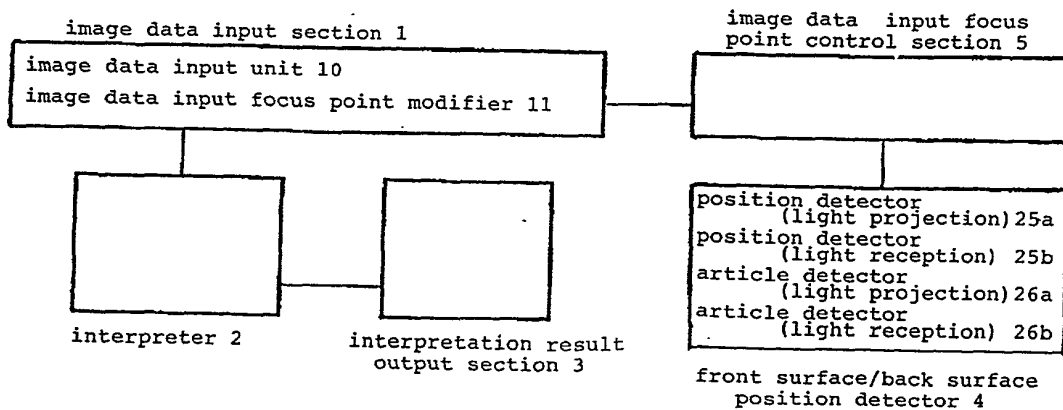


Fig. 3

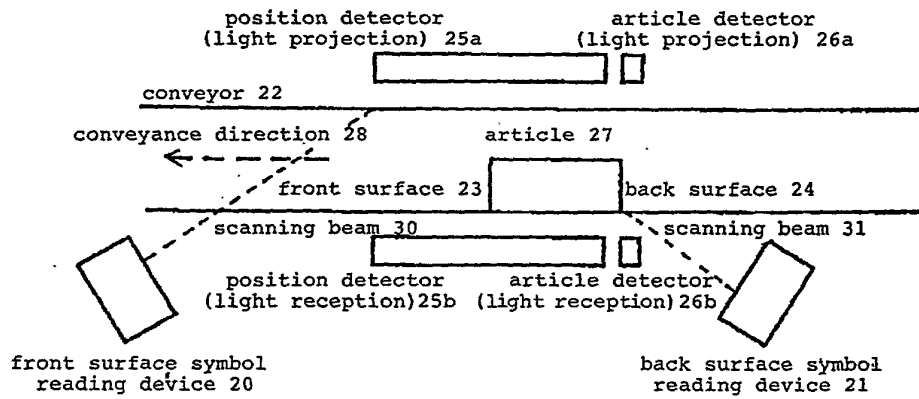
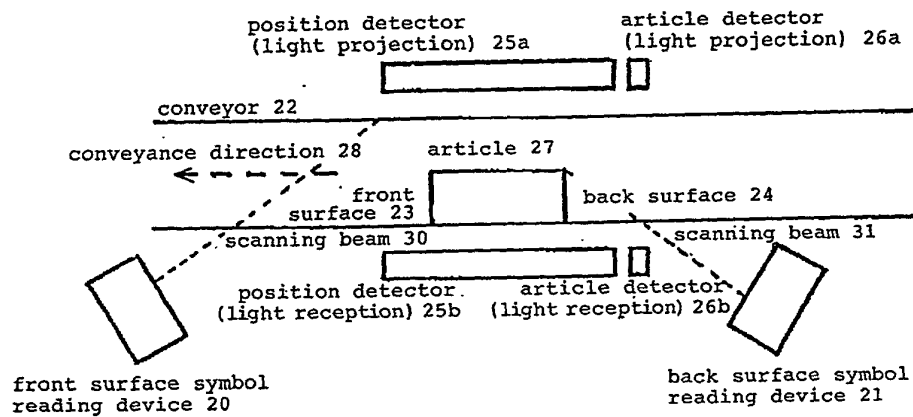


Fig. 4



# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## OPTICAL SYMBOL READING DEVICE

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

### PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
10-147355	Japan	28/05/1998	Yes

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

APPLICATION NO.	FILING DATE

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:


APPLICATION SERIAL NO.	FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Bent, Reg. No. 29,768; David A. Blumenthal, Reg. No. 26,257; John J. Feldhaus, Reg. No. 28,822; Donald D. Jeffery, Reg. No. 19,980; Eugene M. Lee, Reg. No. 32,039; Peter G. Mack, Reg. No. 26,001; Brian J. McNamara, Reg. No. 32,789; Sybil Meloy, Reg. No. 22,749; George E. Quillin, Reg. No. 32,792; Colin G. Sandercock, Reg. No. 31,298; Bernhard D. Saxe, Reg. No. 28,665; Charles F. Schill, Reg. No. 27,590; Richard L. Schwaab, Reg. No. 25,479; Arthur Schwartz, Reg. No. 22,115; Harold C. Wegner, Reg. No. 25,258.



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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor <b>KAZUO ISHII</b>	Signature of First or Sole Inventor <i>Kazuo Ishii</i> 	Date <b>May 14, 1999</b>
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Full Name of Second Inventor	Signature of Second Inventor	Date
Residence Address	Country of Citizenship	
Post Office Address		

Full Name of Third Inventor	Signature of Third Inventor	Date
Residence Address	Country of Citizenship	
Post Office Address		

Full Name of Fourth Inventor	Signature of Fourth Inventor	Date
Residence Address	Country of Citizenship	
Post Office Address		

Full Name of Fifth Inventor	Signature of Fifth Inventor	Date
Residence Address	Country of Citizenship	
Post Office Address		